



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re the Application of: Teiji YAMAMOTO et al.

Serial No.: 09/814,183

Group Art Unit: 3627

Filed: March 22, 2001

Attn: Applications Division

For: FIXING STRUCTURE FOR FIXING A PIN TO A LINK IN A CATERPILLAR

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Commissioner of Patents  
Washington, D.C. 20231

October 1, 2001

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated May 2, 2001, enclosed for filing are the Declaration and a Petition for Extension of Time (three months) for the above-identified patent application.

Also enclosed is our check in the amount of \$1,150.00 for payment of \$740.00 filing fees, \$280.00 for multiple claims, and \$130.00 surcharge fee for late filing of the Declaration. In the event that any additional fees are due with this paper, please charge Deposit Account No. 01-2340.

In the event that this response is not timely filed, applicants hereby petition for an appropriate extension of time. The fees for any such extension may be charged to our Deposit Account No. 01-2340. This paper is filed in triplicate.

Respectfully submitted,  
ARMSTRONG, WESTERMAN, HATTORI,  
MCLELAND & NAUGHTON, LLP



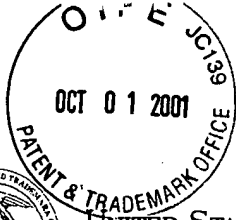
Atty. Docket No. 010416  
1725 K. Street, N.W., Suite 1000  
Washington, D.C. 20006  
Tel: (202) 659-2930  
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Mel R. Quintos  
Attorney for Applicants  
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MRQ:lrj

Enclosures: Notice dated 5/2/01; Declaration; and Petition for Extension of Time

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## UNITED STATES PATENT AND TRADEMARK OFFICE

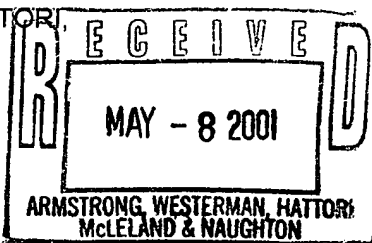
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/814,183	03/22/2001	Teiji Yamamoto	010416

CONFIRMATION NO. 2817

23850

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1725 K STREET, NW, SUITE 1000  
WASHINGTON, DC 20006



FORMALITIES LETTER



\*OC000000006029979\*

Date Mailed: 05/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$270.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1110.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/02/2001 WABRHAM1 00000025 09814183  
740.00 OP  
280.00 OP  
130.00 OP  
02 FC:101  
03 FC:104  
04 FC:105